

PTO-1390 (Rev. 07-2004)
Approved for use through 3/31/07. OMB 0561.0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 703538.4010 U.S. APPLICATION NO. (If known, see 37 CFR **DESIGNATED/ELECTED OFFICE (DO/EO/US)** CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2003/015192 13 May 2003 13 May 2002 TITLE OF INVENTION CHEMICAL MODIFICATIONS TO POLYMER SURFACES AND THE APPLICATION OF POLYMER GRAFTING TO BIOMATERIALS APPLICANT(S) FOR DO/EO/US Nancy ALLBRITTON, Christopher E. SIMS, Guann-Pyng LI, Mark BACHMAN, Shuwen HU, and XueQin REN Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. Mary This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not transmitted by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 37 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. \boxtimes are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT

Items 11 to 20 below concern document(s) or information included:

1. 🔯	An Information Disclosure Statement under 37	' C.F.R.	. 1.97	and	1.98
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12. 🛛	An assignment document for recording.	A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31.		
	An assignment of the invention to:	The Regents of the University of California		

13. A preliminary amendment.

14. An Application Data Sheet under 37 CFR 1.76.

Article 36 (35 U.S.C. 371(c)(5)).

15. A substitute specification.

16. A General power of attorney and/or change of address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.

18. A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. A second copy of the English language translation of the international application under 35 U.S. C. 154(d)(4).

20. Other items of information: Statement Under 37 CFR 3.73(b)

Libis collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

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U.S. APPLICATION NO. (if	known, see 37 CFR 1.5)	of 1995, no persons are required INTERNATIONAL APPLICATIONAL APPLICATION	ATION NO.	ATTORNEY'S DOCKET	NUMBER
	g rees are submitted	PCT/US2003	3/015192	70353 CALCULATIONS	PTO USE ONLY
BASIC NATIONAL F	=				
Neither international nor international sea	preliminary examin rch fee (37 CFR 1.4	ation fee (37 CFR 1.4 l45(a)(2)) paid to USF pared by the EPO or	PTÓ		
International prelimi	nary examination fee	e (37 CFR 1.482) not prepared by the EPC	paid to		
International prelimi but international sea	nary examination fee rch fee (37 CFR 1.4	e (37 CFR 1.482) not l45(a)(2)) paid to USF	paid to USPTO PTO\$790.00		
		e (37 CFR 1.482) paid of PCT Article 33(1)-(4			
International preliming and all claims satisfi	nary examination fee ed provision of PCT	e (37 CFR 1.482) paid Article 33(2)-(4)	to USPTO\$100.00		
	•	PROPRIATE BASIC		790.00	
Surcharge of \$130 fo months from the earli	r furnishing the oath est claimed priority	or declaration later the date (37 CFR 1.492(e	e)).		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	100.00	r ·
Total claims	30 20 =	10	x \$18.00	180.00	
Independent claims	5 - 3 =	2	x \$88.00	176.00	
MULTIPLE DEF	ENDENT CLAIM(S)		+\$290.00	1146.00	
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Applicant claims s		ee 37 CFR 1.27. The	tees indicated	573.00	
above are reduced by	/ 1/2		SUBTOTAL =	573.00	
Processing fee of \$13	O for furnishing the	English translation la		070.00	
months from the earli					
monais nom the cam	cot oldimod phonty		TIONAL FEE =	573.00	
Fee for recording the	enclosed assignme			40.00	
must be accompanied per property	d by an appropriate	cover sheet (37 CFR 3	3.28, 3.31). \$40 +		
		TOTAL FEES	ENCLOSED =	613.00	
				Amount to be	
				Refunded:	\$
				Charged:	\$
	ne amount of \$ de my Deposit Acco	unt No15-0665	e fees is enclosed in the amount o		to cover
the above fe	es. A duplicate cop	y of this sheet is encl thorized to charge an	osed.		t or credit any
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d	be charges to a cred ation should not b	dit card. WARNING: e included on this fo	orm. Provide cred	s form may be come it card information ar	nd authorization on
NOTE: Where an ap CFR 1.137(a) or (b))	propriate time limi must be filed and	it under 37 CFR 1.49 granted to restore th	4 or 1.495 has no ne application to p	t been met, a petition	on to revive (37
SEND ALL CORRES Customer Number.	-			1/1////	
Orrick Herrington & S			1/2 1		
4 Park Plaza, Suite 1			SIGNATURE:		
Irvine, CA 92614			NAME	Kurt T. Mulville	
Tel: 949-567-6700 Fax: 949-567-6710			NAME	37194	
rax: 949-307-0710			REGISTRATION		
E 870 4200 (DEV 0 20		0.10			

Form PTO-1390 (REV 9-2004)

1 0 / 5117 88 DT03 Rec'd PCT/PTO 1.4 OCT 2007

THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

		To:		
CERTIFICATE OF M	AILING	Assistant Commissioner for Patents Box DO/EO/US Washington, DC 20231		
Applicant's or agent's file reference: 703538.4010		Facsimile (703) 305-3230		
International application No. (if known)	(if known)	g date (day/month/year)	(Earliest) Priority date (day/month/year) 13 May 2002	
PCT/US03/15192 13 Ma U.S. Application No (if known)		May 2003 13 May 2002 U.S. Filing date (day/month/year)(if known)		
Applicant	Allbrit	ton et al.		
			RFACES AND THE BIOMATERIALS	

Sir:

Date of Deposit:

Oct 14,2007

Express Mail Label No.

EV450407668US

I hereby certify that these papers and fee(s) are being deposited with the United States Postal Service on the date indicated above, as Express Mail, in an envelope addressed to Box PCT, Assistant Commissioner of Patents, Washington, DC 20231.

- 1. Transmittal Letter to the US Designated/Elected Office Concerning a filing under 37 USC 371 (2 pages)
- 2. Combined Declaration and Petition (3 pages)
- 3. Power of Attorney
- 4. Statement Under 37 CFR 3.73(b)
- 5. Information Disclosure Statement
- 6. Form 1449 (+5 cites)
- 7. Assignment Coversheet
- 8. Assignment document (4 pages)

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